PTO/SB/08A (08-03)

, RUY U-5 2004

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE required to respond to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Ac \$1995, no persons at Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known						
Application Number 10/672,052						
Filing Date	09/26/2003					
First Named Inventor	Selinfreund et al.					
Art Unit	1756					
Examiner Name	Angebranndt, Martin J					
Attorney Docket Number	VTI-114.4B(US)					

		· U.S. 1	PATENT DOCU	MENTS		
Examiner	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials*	No.'	Number-Kind Code <sup>2 (//travan)</sup>				
	1	US-6,011,772	01/04/2000	Rollhaus et al		
Examiner	Cite	FOREIC Foreign Patent Document	Publication	CUMENTS  Name of Patentee or	Pages, Columns, Lines,	T
Examiner Initials	Cite No.1				Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Τ°
		Foreign Patent Document	Publication Date	Name of Patentee or	Where Relevant Passages	16

Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of				
Initials *	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	1	
W	4	International Search Report, International appl. No. PCT/US03/30897 of September 30, 2004		
	5	Chandra, et al., "Studies on some new phenothiazines" Can. J.Chem., (1967) Vol. 45, pages 761-767	Г	
	6	Saref et al., "Recent advances in the synthesis of phenothiazines", Hetrocycles, (1987) Vol. 26 (1) pp.239-273		
	7	Cauquil et al., "No 195- Recherches dans la serie de la", Bull. Sco. Chim. France, (1955) pp.1061-1075.	┞	
	8 _	Daneke et al., "Addition von Nucleophilen an in situ erzeugtes Phenazithionium Kation", (1970), Vol. 740  pp. 52-62	r	
	0 _	Morrison et al. "Organic Chemistry", Third Edition (1973), p.360	┡	

Examiner Signature	M	n	Date Considered	4/19/03	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Approved for use through 07/31/2006. OMB 0651-0031

Angebranndt, Martin J.

VTI-114.4B(US)

**Examiner Name** 

Attorney Docket Number

(Use as many sheets as necessary)

of 1

Sheet

		U.S.	PATENT DOCU	JMENTS		
Examiner Initials			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
VVI	Ī i	5,815,484	09/2/1998	Smith ,et al.	1,52,44,1,524	
w	W 2 6,228,440		05/98/2001	Dailey, et al.		
w	3	6,589,626	07/88/2003	Selinfreund, et al.		
ilx	4	6,641,886	11/94/2003	Bakos, et al.		
FOREIGN PATENT DOCUMENTS  Examiner   Cite   Foreign Patent Document   Publication   Name of Patentee or   Pages, Columns, Lines,						
Examiner Initials	Cite No.	FOREIC Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	ļ	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (tf Inown)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
-						
		, / /				
Examiner Signature		Milh		Date Considered	4/23/05	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Inter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Box 1450, Alexandria, VA 22313-1450.